

16

Docket No.

FIS920040052US1

SEP 13 2004

Filing Date
00-00-04

Examiner

Group Art Unit

Invention: Structure and Method for Manufacturing Planar SOI Substrate with Multiple Orientations

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Application Number	10/710277
Filing Date	06-30-04
First Named Inventor	Huilong Zhu et al.
Art Unit	
Examiner Name	
Attorney Docket Number	FIS920040052US1

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

Lynne D. Anderson

Signature

Date

July 8, 2004

Telephone

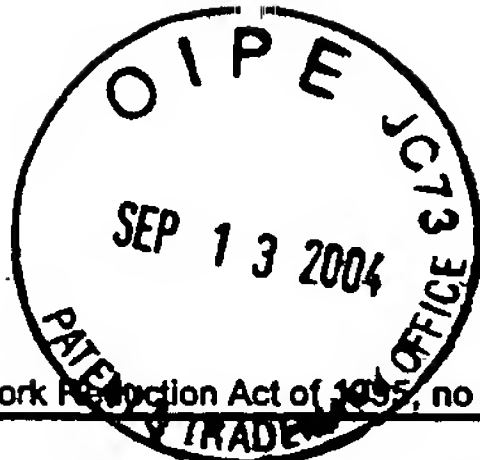
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☐ Total of _____ forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Huilong Zhu et al.Application No./Patent No.: 10/710277 Filed/Issue Date: 06-30-04Entitled: Structure and Method for Manufacturing Planar SOI Substrate with Multiple OrientationsInternational Business
Machines Corporation, a corporation of New York

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014795, Frame 0598, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

July 8, 2004

Date

(703)299-1455

Telephone number

Lynne D. Anderson

Typed or printed name

Signature

Docket No.: FIS920040052US1Program Manager, US PTO Liaison

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

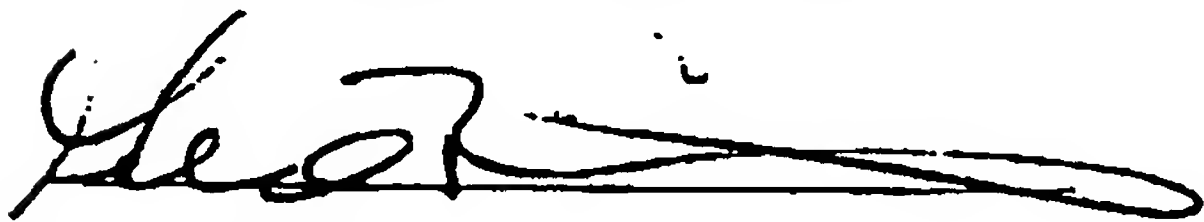
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Date:

April 6, 2004



Gerald Rosenthal
Vice President, Intellectual Property & Licensing
International Business Machines Corporation